

Daniel Martinec

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Financial Services Compliance Professional

I am a financial services professional with diverse experience in program management, compliance risk management and customer-facing banking. I've successfully exceeded production and quota-driven goals, and have demonstrated progressive and varied experience in a large compliance risk program. I hold the Certified Anti-Money Laundering Specialist and Certified Associate in Project Management certifications.

Strategic Accomplishments

Asked to fill newly created roles and lead initiatives related to compliance program management and the build out of a bank fraud and anti-money laundering oversight program. As part of these roles, I have been responsible for both compliance reporting at an executive level and quality control and quality assurance feedback to multiple lines of business and their strategic leaders.

Relevant Skills

Regulatory Compliance · Risk Management · Decision Making · Critical Thinking
Communication · Timeline/Deadline Management · Presenting
Banking · Program Management

Professional Experience

Ally Financial, Charlotte, North Carolina

Senior Analyst, AML Program Management

March 2022-Present

Responsible for tracking and reporting of Ally Bank business line integration and anti-money laundering compliance. Facilitate meetings with compliance and business line executives and report out on enterprise-wide AML activities to senior leadership.

- Build reports and presentation materials to be used in governance meetings and executive leadership routines.
- Review, provide feedback and create procedures to be used by Ally's Financial Crimes Compliance (AML) team.
- Asked to lead buildout of environmentally focused volunteer program which consists of stakeholder identification, event planning and volunteer management.

Senior Analyst Quality Control, AML Investigations

February 2021-March 2022

Ensure Enterprise Anti-Money Laundering Investigations and business line Fraud Investigations (deposit, automotive, mortgage, etc.) were consistent with enterprise standards and regulatory obligations. Provide feedback to investigations teams and senior management of various business lines. Responsible for measurable quality of all types of AML and Fraud investigations at Ally Bank and Ally Invest including regulatory filings and other documented compliance processes.

- Identify and analyze themes which may indicate additional compliance risks
- Provide feedback to all levels of investigators and management regarding investigation quality and internal procedure compliance
- Use critical thinking and decision-making skills to conduct analyses in regulatory areas of heightened ambiguity
- Act as a subject matter expert in realms of AML and FinCEN regulatory reporting

Analyst II, AML Investigations

July 2020-February 2021

Responsible for complete, accurate and timely Enterprise AML investigations within departmental deadlines and stated procedural parameters. Investigations pertained to all bank products including deposit/credit accounts, consumer and business automotive accounts and consumer mortgage accounts.

- Engage teammates to manage additional AML/BSA-related risks pertaining to Sanctions, OFAC and Enhanced Due Diligence
- Utilize sharing requests in compliance with US Patriot Act and federal guidance
- Attend industry events and conferences to remain informed of regulatory changes such as ACAMS webinars and local chapter meetings
- Narratives and writing used as examples in training materials; assist in training of new investigators and remain ongoing point of contact.
- Asked by management to demonstrate and explain departmental processes to external stakeholders

Analyst I, AML Investigations

September 2018-July 2020

The PNC Financial Services Group, Ann Arbor, Michigan

Financial Consultant:

May 2017-September 2018

Use holistic banking model to acquire, maintain and strengthen client relationships. Managed risk and ensure multiple operational duties are completed and logged per audit procedures. Highlights of position include:

- Top-producing Universal Banker in region for multiple quarters
- Utilized structured sales process to build pipeline and exceed production goals
- Consulted with area specialists to meet unique client needs in areas of investments or borrowing
- Proactively reached out to clients to review accounts and ensure accurate information profiles
- Balanced multiple priorities through attention to detail and defined organization with Microsoft Office and other technology
- Protected client and bank assets through adherence to all risk procedures including cash management, AML compliance, transaction accuracy and operational soundness

Branch Sales and Service Representative:

June 2016-May 2017

Assisted in branch operations through diligent cash and risk management and provide excellent customer service in all areas of business.

- Traditional branch model with established customer base and relationships
- Built new relationships with established customers and conducting outreach via several contact channels to establish new lending and deposit product selling opportunities

Education and Professional Certifications

Certified Associate in Project Management (CAPM)

Since February 2021

Certified Anti-Money Laundering Specialist (CAMS)

Since June 2018

University of Michigan, Ann Arbor, Michigan

April 2016

Master of Music

Cumulative GPA: 3.9/4.0

Recipient: Graduate Fellowship, Walgreen Family Scholarship

University of Georgia, Athens, Georgia

May 2014

Bachelor of Music Magna Cum Laude

Cumulative GPA: 3.84/4.00

Recipient: Bank of America Joe Martin Scholar, Hugh Hodgson Scholarship, Hoadley Family Scholarship, Harold Heckman Scholarship